

Physics 555 Fall 2003 2×2 matrix algebra for BCS theory

In BCS theory we need eigenvalues and eigenvectors of a matrix of the type

$$\hat{M} = \begin{pmatrix} \xi & \Delta^* \\ \Delta & -\xi \end{pmatrix}$$

where $\xi = \epsilon_k - \mu$ and Δ is the complex gap, $|\Delta| \exp(i\phi)$. Clearly the eigenvalues are $\pm E$ where $E = \sqrt{\xi^2 + |\Delta|^2}$. It is convenient to express this matrix in terms of the Pauli matrices

$$\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad \sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \quad \sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

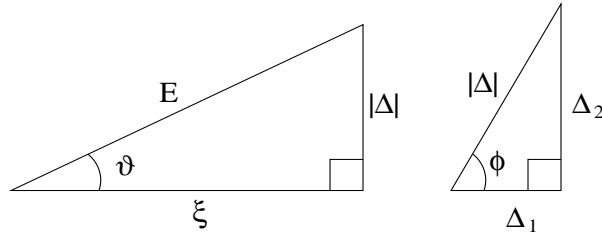
The matrix becomes

$$\hat{M} = E(\vec{r} \cdot \vec{\sigma})$$

where the unit vector \vec{r} is given by

$$\vec{r} = (\sin \theta \cos \phi, \sin \theta \sin \phi, \cos \theta),$$

and θ and ϕ are defined in the picture below.



The matrix $\vec{r} \cdot \vec{\sigma}$ can be rotated until \vec{r} is \hat{z} . The eigenvalues are thus ± 1 . The rotation matrix U is defined as

$$\sigma_z = U(\vec{r} \cdot \vec{\sigma})U^\dagger \quad \text{or} \quad \vec{r} \cdot \vec{\sigma} = U^\dagger \sigma_z U$$

and is the product of two simple rotations, $U_2 U_1$, where

$$U_1 = \begin{pmatrix} e^{i\phi/2} & 0 \\ 0 & e^{-i\phi/2} \end{pmatrix} = e^{i(\phi/2)\sigma_z} \quad U_2 = \begin{pmatrix} \cos \frac{\theta}{2} & \sin \frac{\theta}{2} \\ -\sin \frac{\theta}{2} & \cos \frac{\theta}{2} \end{pmatrix} = e^{i(\theta/2)\sigma_y}.$$

The rotation U_1 is around the z -axis by angle $-\phi$. This causes $\vec{r} \cdot \vec{\sigma}$ to rotate such that the new vector \vec{r}' lies in the xz plane. The rotation U_2 is around the y axis by angle $-\theta$. This causes the new vector \vec{r}'' to line up with the z axis. The resulting conjugate rotation matrix U^\dagger

$$U^\dagger = \begin{pmatrix} \cos \frac{\theta}{2} e^{-i\phi/2} & -\sin \frac{\theta}{2} e^{-i\phi/2} \\ \sin \frac{\theta}{2} e^{i\phi/2} & \cos \frac{\theta}{2} e^{i\phi/2} \end{pmatrix}$$

contains as its columns the two orthonormal eigenvectors $|1\rangle$ and $|-1\rangle$ of $\vec{r} \cdot \vec{\sigma}$. The eigenvectors could, of course, each be multiplied by an additional overall phase factor $\exp(i\psi_1)$ and $\exp(i\psi_{-1})$.